Ministerial Statement on Quality Assurance of
Degree Education in Canada

2007
Ministerial Statement on Quality Assurance of Degree Education in Canada

Preamble

The primary reasons for endorsing the Canadian Degree Qualifications Framework, Procedures and Standards for New Degree Program Quality Assessment, and the Procedures and Standards for Assessing New Degree-Granting Institutions for government decisions relating to new degree programs and new degree-granting institutions are as follows:

- To provide assurance to the public, students, employers, and postsecondary institutions at home and abroad that new programs and new institutions of higher learning meet appropriate standards and that performance against the standards will be assessed by appropriate means
- To provide a context for identifying how degree credentials compare in level and standard to those in other jurisdictions, with a view to facilitating the search for continuous improvement, the education and training of an internationally competitive workforce, and international recognition of the quality of Canadian credentials
- To improve student access to further study at the postsecondary level by establishing a degree-level standards context in which policies on the transfer of credits and credential recognition may be developed and, in fairness to students who choose non-traditional providers, to focus discussion of credit transfer and credential recognition on the academic standards that the programs involved have met

Ministers responsible for advanced education, hereafter referred to as “ministers,” recognize that the primary responsibility for academic and institutional quality assurance rests with postsecondary institutions themselves. Ministers also recognize that the academic integrity and governance autonomy of the individual institutions and programs must be protected and preserved.

In that context, ministers expect postsecondary institutions in each province/territory to be committed to working with other postsecondary institutions, transfer agencies, and governments, as appropriate, to develop, enhance, and maintain quality assurance standards and procedures that reflect best practices in quality assurance. At the same time, ministers also recognize that governments are responsible for assuring themselves and the public that appropriate forms of quality assurance are in place in all degree-granting institutions and that they have a particular responsibility to do so when new programs or new institutions are proposed that require their approval.

In consequence, ministers have adopted the following statement as a guideline to be employed in decision making relating to new degree programs and new degree-granting institutions within a province/territory. It contains three sections:

1. Canadian Degree Qualifications Framework
2. Procedures and Standards for New Degree Program Quality Assessment
3. Procedures and Standards for Assessing New Degree-Granting Institutions
## A. Descriptions of Degree Categories

The following descriptions of degree categories are intended to capture the most salient general aspects of the three principal degree levels offered in Canada. They apply to a broad spectrum of disciplines, program types, and program lengths. The descriptors on the left-hand side are similar to the “Bologna Descriptors” used by many other jurisdictions, notably including the 25 countries in the European Union, the 20 countries that have formally associated with the European Union’s project to develop common standards and quality assurance procedures, and many quality assurance agencies belonging to the International Network for Quality Assurance Agencies in Higher Education. The intent of such frameworks is to provide an agreed description of what each degree level is intended to achieve in general learning outcomes. This Canadian version is intended to provide a broad framework for each degree level, leaving to each province/territory the development of more detailed qualifications frameworks for degree credentials offered in its jurisdiction. Other credentials, such as associate degrees, special categories of applied degrees, and certificates and diplomas related to both undergraduate and postgraduate study will need to be articulated at the provincial/territorial level.

<table>
<thead>
<tr>
<th>Program Design and Outcome Emphasis</th>
<th>Bachelor’s Degree</th>
<th>Master’s Degree</th>
<th>Doctoral Degree</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>DESCRIPTION</strong></td>
<td><strong>BACHELOR’S DEGREE</strong></td>
<td><strong>MASTER’S DEGREE</strong></td>
<td><strong>DOCTORAL DEGREE</strong></td>
</tr>
<tr>
<td></td>
<td>The credential awarded for the bachelor’s degree is designed to acquaint the student with the basic conceptual approaches and methodologies of the principal discipline or disciplines that constitute the program of study, to provide some specialized knowledge, and to nurture the capacity for independent work in the discipline/disciplines and field of practice. All bachelor’s programs are designed to provide graduates with knowledge and skills that enable them to develop the capacity for independent intellectual work. That capacity may be demonstrated by the preparation, under supervision, of one or more essays, a terminal research paper, thesis, project, exhibition, or other research-based or performance-based exercise that demonstrates methodological competence and capacity for independent and ethical intellectual/creative work and, where relevant, the exercise of professional responsibility in a field of practice. Some bachelor’s-degree programs are intended to provide a wide exposure to several disciplines, others to provide an in-depth education in one or more disciplines (often as preparation for graduate study), and still others to provide a blend of theory and practice that equips students for entry into an occupation or profession. Despite that diversity, each bachelor’s-degree program must meet a substantial and common set of competency outcomes, as outlined below, to justify use of the bachelor’s-degree label. The range of bachelor’s programs includes examinations of knowledge and skills in aspects of the discipline prior to authorization to proceed to work on a dissertation. Examples: MA programs in the humanities and social sciences, MSc programs.</td>
<td>A master’s degree program builds on knowledge and competencies acquired during related undergraduate study and requires more specialized knowledge and intellectual autonomy than a bachelor’s-degree program. Much of the study undertaken at the master’s level will have been at, or informed by, the forefront of an academic or professional discipline. Students will have shown some originality in the application of knowledge, and they will understand how the boundaries of knowledge are advanced through research. They will be able to deal with complex issues both systematically and creatively, and they will show independent capacity in addressing issues and problems. Research-oriented master’s programs are typically for graduates of related undergraduate or professional programs in the field or students who have taken bridging studies to equip them for graduate study in the field; the focus is on developing the research, analytical, methodological, interpretative, and expository skills necessary for doctoral studies or for leadership in society. Some programs are thesis-based and require the student to develop and demonstrate advanced research skills under supervision. Others are course-based and require students to demonstrate the necessary research, analytical, interpretative, methodological, and expository skills in course exercises. Examples: MA programs in the humanities and social sciences, MSc programs.</td>
<td>A doctoral program builds on the knowledge and competencies in a field or discipline acquired during prior study, usually at the graduate level. Study at the doctoral level is at the forefront of an academic or professional discipline. Holders of the doctoral degree must have demonstrated a high degree of intellectual autonomy, an ability to conceptualize, design, and implement projects for the generation of significant new knowledge and/or understanding, and an ability to create and interpret knowledge that extends the forefront of a discipline, usually through original research or creative activity. Preparation for doctoral work may involve course work of varying lengths aimed at cultivating further conceptual depth or breadth. It may also involve written and oral examinations of knowledge and skills in aspects of the discipline prior to authorization to proceed to work on a dissertation. Research-oriented doctoral programs focus on the development of the conceptual and methodological knowledge and skills required to do original research and to make an original contribution to knowledge in the form of a dissertation. Some fields an internship or exhibition component may be required, but without diluting the significance of the dissertation as the primary demonstration of mastery.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
- **Programs designed to provide a broad education as an end in itself.** They may also prepare graduates for employment in a variety of fields and/or for admission to second-entry professional programs. Examples: BHum (Humanities), General BA and General BSc degrees

- **Programs designed to provide in-depth study in academic disciplines.** They normally prepare students for graduate study in the discipline(s) and for employment in a variety of fields.

- **Programs with an applied focus.** They blend theory and practice, with content selected to ensure mastery of the field of practice rather than to deepen knowledge in the discipline/disciplines for their own sake or as preparation for further study in the discipline. Even so, they may prepare students for further study depending upon the field and length and depth of the program; graduates may or may not require preparatory studies before entering graduate programs. While professional associations or accrediting bodies may set entry-to-practice standards for such programs, those standards are not normally obligatory for the institution offering the program.

- **Programs with a professional focus.** They are designed to prepare graduates to meet admission requirements and to be competent practitioners in the profession. Some of them are first-entry programs, others are second-entry programs (that is, they require some prior degree-level study or even a degree). They normally require periods of practical experience (apprenticeship, internship, articling, clinical, etc.). The capacity for independent professional work is demonstrated by academic and practical exercises, under supervision, followed by admission tests to the profession. Though considered to be bachelor’s programs in academic standing, some professional programs yield degrees with other nomenclature. Examples: DDS (Dental Surgery), MD (Medicine), LLB, or JD (Juris Doctor)

Example: MSW (Social Work)

Such programs lead to the award of the PhD. Examples: PhD (Psychology), PhD (Education), PhD (Music)

Practice-oriented doctoral programs are of a more applied nature, relate to a professional or creative activity and, where there is an internship or exhibition requirement, may also require a dissertation. Doctoral programs with an orientation to practice typically involve more course work than doctoral programs with a more theoretical or disciplinary focus. Such programs lead to the award of a degree designation reflecting the field or discipline. Examples: EdD (Education), MusDoc (Music), PsyD (Psychology).
<table>
<thead>
<tr>
<th>Preparation for Employment and Further Study</th>
<th>In addition to providing personal and intellectual growth, bachelor’s programs, in varying degrees, may prepare students for entry into graduate study in the field, second-entry professional degree programs, or employment in one or more fields.</th>
<th>Graduates will have the qualities needed for either further study in the discipline or for employment in circumstances requiring sound judgment, personal responsibility and initiative, in complex and unpredictable professional environments.</th>
<th>Holders of doctorates will have the qualities needed for employment requiring the ability to make informed judgements on complex issues in specialist fields, and innovation in tackling and solving problems.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Length of Program</td>
<td>Owing primarily to variations in pre-university studies among the provinces/territories, classroom instruction is typically six to eight semesters or more in duration (normally 90-120 credits, or the equivalent) and may be supplemented by required professional experience (e.g., supervised practica, internships, and work terms).</td>
<td>Master’s programs vary typically from two to six semesters in duration, depending on the field and the speed at which individuals progress through requirements.</td>
<td>A doctoral program is typically three to six years in length, depending on the field and the speed at which individuals progress through requirements.</td>
</tr>
<tr>
<td>Admission Requirements</td>
<td>Admission normally requires, at a minimum, a secondary school or CEGEP diploma and/or university preparatory courses, a minimum grade-point average, and other program-specific requirements. Students lacking these credentials may be admitted on a part-time or probationary basis, with continuation subject to acceptable academic achievement. Second-entry programs normally require at least two or three years of completed degree-level studies or in some cases the prior or concurrent completion of another undergraduate degree.</td>
<td>Normally, an undergraduate degree with an appropriate specialization or an undergraduate degree with relevant bridging studies.</td>
<td>Normally, a master’s degree with an appropriate specialization or a master’s degree with appropriate bridging studies.</td>
</tr>
</tbody>
</table>
## B. Degree-Level Standards

The focus of the following degree-level standards is on the expectations of graduates at each degree. The standards stipulate the demonstrable transferable learning skills and level of mastery of a body of specialized knowledge in six dimensions: 1. Depth and Breadth of Knowledge, 2. Knowledge of Methodologies, 3. Application of Knowledge, 4. Communication Skills, 5. Awareness of Limits of Knowledge, and 6. Professional Capacity/Autonomy. The shades of distinction between degrees are determined by the capacity of the graduate at each level to act competently, creatively and independently, and by their proximity to the forefront of a discipline and/or profession. Among other things, the degree-level standards are intended (a) to facilitate the assessment of credentials for broad purposes of credit transfer and credential recognition, (b) to provide clear learning-outcome standards to instructional and program designers, (c) as a broad framework for quality assurance purposes. The standards are intended to be cumulative — each degree level presupposes the accomplishment of an earlier one.

<table>
<thead>
<tr>
<th>EXPECTATIONS</th>
<th>BACHELOR'S DEGREE</th>
<th>MASTER'S DEGREE</th>
<th>DOCTORAL DEGREE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Depth and Breadth of Knowledge</td>
<td>This degree is awarded to students who have demonstrated</td>
<td>This degree is awarded to students who have demonstrated</td>
<td>This degree is awarded to students who have demonstrated</td>
</tr>
<tr>
<td>(a) Knowledge and critical understanding in a field of study that builds upon their secondary education and includes the key assumptions, methodologies, and applications of the discipline and/or field of practice</td>
<td>A systematic understanding of knowledge, and a critical awareness of current problems and/or new insights, much of which is at, or informed by, the forefront of their academic discipline, field of study, or area of professional practice.</td>
<td>A thorough understanding of a substantial body of knowledge that is at the forefront of their academic discipline or area of professional practice.</td>
<td></td>
</tr>
<tr>
<td>(b) Basic understanding of the range of fields within the discipline/field of practice and of how the discipline may intersect with fields in related disciplines</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c) The ability to gather, review, evaluate, and interpret information, including new information relevant to the discipline, and to compare the merits of alternate hypotheses or creative options relevant to one or more of the major fields in a discipline</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(d) The capacity to engage in independent research or practice in a supervised context</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(e) Critical thinking and analytical skills inside and outside the discipline</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(f) The ability to apply learning from one or more areas outside the discipline</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Knowledge of Methodologies and Research</td>
<td>(a) An understanding of methods of enquiry or creative activity, or both, in their primary area of study that enables the student to (i) evaluate the appropriateness of different approaches to solving problems using well established ideas and techniques, (ii) devise and sustain arguments or solve problems using these methods, and (iii) describe and comment upon particular aspects of current research or equivalent advanced scholarship in the discipline and on their relevance to the evolution of the discipline (b) The ability to review, present, and critically evaluate qualitative and quantitative information (i) develop lines of argument; (ii) make sound judgments in accordance with the major theories, concepts, and methods of the subject(s) of study; (iii) apply underlying concepts, principles, and techniques of analysis, both within and outside the discipline; and (iv), where appropriate, use this knowledge in the creative process</td>
<td>A conceptual understanding and methodological competence that enables the graduate to (a) Have a working comprehension of how established techniques of research and inquiry are used to create and interpret knowledge in the discipline (b) Have a capacity to evaluate critically current research and advanced research and scholarship in the discipline or area of professional competence, and on the basis of that competence, have shown at least one of the following: (i) the development and support of a sustained argument in written form or (ii) originality in the application of knowledge.</td>
<td>A conceptual understanding and methodological competence that provides the graduate with the ability to (a) Conceptualize, design, and implement research for the generation of new knowledge, applications, or understanding at the forefront of the discipline and to adjust the research design or methodology in the light of unforeseen problems (b) Make informed judgments on complex issues in specialist fields, sometimes requiring new methods (c) Produce original research, or other advanced scholarship, of a quality to satisfy peer review, and to merit publication</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>3. Application of Knowledge</td>
<td>(a) The ability to use a range of established techniques to (i) initiate and undertake critical evaluation of arguments, assumptions, abstract concepts, and information; (ii) propose solutions; (iii) frame appropriate questions for the purpose of solving a problem; (iv) solve a problem or create a new work (b) The ability to make critical use of scholarly reviews and primary sources.</td>
<td>The capacity to (i) address complex issues and judgments based on established principles and techniques and (ii) apply an existing body of knowledge in the research and critical analysis of a new question or of a specific problem or issue in a new setting.</td>
<td>The capacity to (i) undertake pure and/or applied research at an advanced level and (ii) contribute to the development of academic or professional skill, techniques, tools, practices, ideas, theories, approaches, and/or materials.</td>
</tr>
<tr>
<td>4. Communication Skills</td>
<td>The ability to communicate information, arguments, and analyses accurately and reliably, orally and in writing, to specialist and non-specialist audiences, using structured and coherent arguments, and, where appropriate, informed by key concepts and techniques of the discipline.</td>
<td>The ability to communicate ideas, issues, and conclusions clearly and effectively to specialist and non-specialist audiences.</td>
<td>The ability to communicate complex and/or ambiguous ideas, issues, and conclusions clearly and effectively.</td>
</tr>
<tr>
<td>5. Awareness of Limits of Knowledge</td>
<td>An understanding of the limits to their own knowledge and ability; an appreciation of the uncertainty and ambiguity of and limits to knowledge, and an appreciation of how this might influence analyses and interpretations.</td>
<td>A cognizance of the complexity of knowledge and of the potential contributions of other interpretations, methods, and disciplines.</td>
<td>An appreciation of the limitations of one’s own work and discipline, of the complexity of knowledge, and of the potential contributions of other interpretations, methods, and disciplines.</td>
</tr>
</tbody>
</table>
| 6. Professional Capacity/Autonomy | Qualities and transferable skills necessary for further study, employment, community involvement, and other activities requiring (i) the exercise of initiative, personal responsibility and accountability in both personal and group contexts, (ii) working effectively with others, and (iii) behaviour consistent with academic integrity. | (a) The qualities and transferable skills necessary for employment requiring (i) the exercise of initiative and of personal responsibility and accountability and (ii) decision-making in complex situations, such as employment  
(b) The intellectual independence required for continuing professional development  
(c) The ability to appreciate the broader implications of applying knowledge to particular contexts | (a) The qualities and transferable skills necessary for employment requiring the exercise of personal responsibility and largely autonomous initiative in complex situations  
(b) The intellectual independence to be academically and professionally engaged and current  
(c) The ability to evaluate the broader implications of applying knowledge to particular contexts |
2. Procedures and Standards for New Degree Program Quality Assessment

Procedures

1. The evaluation process is clearly defined. Its procedures and standards are publicly available. Its conclusions based on a rigorous and thorough examination of the proposed program are fair, consistent, and equitable.

2. Each proposed program is assessed in its entirety, including all majors and fields of specialization. Substantial additions to an existing program, such as new majors or fields of specialization, require a new evaluation.

3. Each proposed program is subject to review by an independent panel of experts with experience in the appropriate field of study and in the design and evaluation of programs. The composition of this panel may vary, but it should include a majority of senior academics.

4. The process includes the institution’s written proposal, discussions with proponents of the institution, a site visit when necessary, a written report by the expert panel, and an institutional response to the report.

5. When possible and pertinent, the evaluation process includes an evaluation of student work with a view to determining whether stated degree level and program-learning-outcome standards are being met.

6. The process is based on a firm commitment by all the institutions in the jurisdiction to recognize its validity and its binding character.

Standards

7. The review includes evaluation against published standards that include at least the following commonly used elements:

7.1 Degree Level – The degree level of the proposed program is in accordance with the Canadian Degree Qualifications Framework.

7.2 Academic Policies – The institution has published academic policies with respect to admission, promotion and graduation requirements, mature students, credit transfer and prior learning assessment, appeals, and academic dishonesty consistent with the level of the proposed degree program. It has established policies and procedures that outline the process by which transfer of academic credit is awarded.

7.2.1 Admissions – The institution has admissions requirements for the proposed program consistent with the postsecondary character of degree-granting organizations; ensures appropriate forms of assessment of prior learning for admission to programs; and can demonstrate that the criteria and processes
used to determine whether an individual can enrol in a program are set at a level that provides a reasonable expectation that the student can successfully complete the program, taking into account the support provided by the institution including appropriate probationary policies.

7.2.2 Promotion and Graduation – The institution has promotion and graduation requirements for the proposed program consistent with the postsecondary character of degree-granting organizations and the Canadian Degree Qualifications Framework that allow for confirmation that a student is progressing normally through the program.

7.3 Program Content – The content of the program, in both subject matter and learning-outcome standards, meets the degree-level standard in the Canadian Degree Qualifications Framework. In addition, the program offers an education of sufficient breadth and rigour to be comparable to similar programs offered by other degree-granting institutions that meet recognized standards in the host province or territory and in other jurisdictions.

7.4 Program Delivery – The delivery methods should allow students to achieve the proposed learning outcomes at the degree-level standard. This should normally be measured by looking at whether the delivery methods are appropriate to the course content, the students involved, and the proposed learning outcomes; the effectiveness of the expertise and resources, both human and material, that support the program and its students; and the processes for students’ feedback.

7.5 Governance – The management structures and methods of the program are well defined and permit delivery of the quality of education necessary for students to attain the learning outcomes.

7.6 Human Resources – The institution has sufficient and appropriately qualified resources, academic and otherwise, to deliver degree-level education, and satisfactory policies pertaining to faculty that address issues such as the protection of academic freedom; academic/professional credentials; the regular review of faculty performance; the means of ensuring that faculty knowledge of the field is current; teaching, supervision and student-counselling loads; and professional development of faculty. Staff resources must be sufficient to ensure the coverage required within the discipline for the proposed program.

7.7 Physical Resources – The institution is able to ensure student and faculty access to appropriate learning and information resources (such as library, databases, computers, classroom equipment, and laboratory facilities) and to an appropriate range of academic support services.

7.8 Credential Recognition – The proposed program’s learning outcomes and standards are sufficiently clear and at a level that will facilitate recognition of the credential by other postsecondary institutions, employers, and professional bodies. Where appropriate, the program, courses, or curricular elements in it are designed to facilitate
credit transfer or credential recognition by other postsecondary institutions and by employers, both within the host province or territory and in other jurisdictions.

7.9 Regulation and Accreditation – For proposed programs leading to professions that are subject to government regulation, the learning outcomes and standards and other requirements for graduation take into account the requirements of the relevant regulatory or professional body.

7.10 Program Evaluation – The institution has a formal approved policy and procedure requiring the periodic review of programs to occur on a cyclical basis, normally not exceeding ten years. The policy and procedure includes assessment of programs against the degree-level standard in the Canadian Degree Qualifications Framework and any program- or institution-specific standards for programs, and assessment of individual student work in the terminal stage of programs to determine whether the standards are being achieved by students. A program review procedure includes, at a minimum,

(i) A self-study undertaken by faculty members and administrators of the program based on evidence relating to program performance against the criteria stated above, including strengths and weaknesses, desired improvements, and future directions
(ii) An assessment conducted by a panel consisting of experts external to the institution that normally includes a site visit
(iii) A report of the expert panel assessing program quality and recommending any changes needed to strengthen that quality
(iv) An institutional response to the recommendations in the report
(v) A summary of the conclusions of the evaluation made publicly available.

3. Procedures and Standards for Assessing New Degree-Granting Institutions

Procedures

1. The evaluation review process is clearly defined. Its procedures and standards are publicly available. Its conclusions based on a rigorous and thorough examination of the institution are fair, consistent, and equitable.

2. The review of an institution includes an independent, expert panel composed of senior administrators and/or experts competent to provide an informed opinion on the quality of the unit or operation.

3. Where appropriate, the review includes an evaluation of the financial capacity of the institution to deliver and sustain its proposed programs appropriately.

4. The review includes written material, discussions with proponents of the institution, a site visit where appropriate, a written report by the expert panel, and an institutional response to the report.
Standards

5. The review includes evaluation against published standards that include at least the following commonly used elements:

5.1 Mission Statement and Academic Goals – The institution has approved a mission statement and academic goals that identify the academic character and the aspirations of the organization, including the extent to which the applicant is committed to the dissemination of knowledge through teaching and, where applicable, the creation of knowledge and service to the community or related professions. The institution has academic policies and standards that support the institution’s mission and academic goals.

5.2 Governance – The institution has the legal characteristics and governance structure necessary to organize and manage an institution of higher learning. The structure normally includes a body competent to either make decisions or give advice in academic matters.

5.3 Administrative Capacity – The institution has the capacity to manage itself in a competent way. It has capable administrative staff, policies with respect to strategic planning, an adequate information system to gather and analyze data needed for planning and decision-making, and procedures for the development of curricula and academic policies that include participation by academic staff and consultation with students.

5.4 Faculty and Staff – The institution has policies with respect to the number and qualifications of the academic faculty and instructional staff, including provisions against fraudulent credentials; policies with respect to appointment, evaluation (including student evaluations), employment conditions, which include workload, promotion, termination, and professional development; and policies/practices with respect to research and/or scholarship. In addition, the institution has policies regarding appropriate human resource development and management.

5.5 Information Services/Systems – The institution has available for students and faculty appropriate information services and learning resources to support the academic programs. The review normally considers how priorities are established with respect to their acquisition and the institution’s commitment to maintaining and supplementing them.

5.6 Physical Plant – The institution has a physical plant and facilities including laboratories, classrooms, library, technology, and specialized equipment, appropriate to support degree programming in the program or programs it offers (or proposes to offer) or demonstrates the availability of adequate learning resources and learning support for students where alternate means of delivery are employed.

5.7 Ethical Conduct – The institution values and upholds integrity and ethical conduct, as demonstrated by the policies and practices by which it proposes to conduct its
business and, if applicable, by its past performance within and/or outside of the jurisdiction.

5.8 **Academic Freedom and Integrity** – The institution maintains an atmosphere of academic freedom and intellectual independence: an atmosphere that not only promotes a full and balanced treatment of the commonly-held academic body of knowledge, theories, and opinions, but also encourages testing the limits of knowledge and communicating research findings and the implication of those findings to the academic community and beyond. Academic activity is supported by policies, procedures, and practices that encourage academic honesty and integrity and respect the ownership rights of the creators of intellectual property whether faculty, employees, or students. The institution has adopted formal ethical research standards as well as policies concerning the management of research funds. The institution has means and procedures for the enforcement of the above policies based on principles of natural justice.

5.9 **Financial Stability** – The institution demonstrates financial stability and sufficient financial resources to provide a stable learning environment and to ensure that students can complete their programs; has a credible strategic and business plan; has procedures for a regular audit by an arm’s-length professional accountant of the institution’s financial methods, performance, and stability; and has methods to protect student financial investment in case the institution ceases activity.

5.10 **Student Protection** – The institution values and upholds integrity and ethical conduct in its relations with students through the availability of full, accurate, and truthful material regarding its mission and goals, history, governance, and academic structure; program and subject descriptions; faculty and administrators’ credentials; entrance requirements including credit transfer and prior learning assessment policies; clear and informative student enrolment agreements verifying student awareness of relevant policies; support services; payment requirements and refund policies; financial assistance; and transcript protection.

5.11 **Dispute Resolution** – The institution has policies for dealing with disputes between the organization and its students, the organization and its faculty, and between faculty and students, where complaints, grievances, and/or disputes of students, faculty, staff, and administration are dealt with in accordance with the principles of natural justice (fair and expeditious resolution of disputes with reasonable deadlines; full disclosure; the right to be heard in response to charges or complaints; a process for and an officer charged with reviewing disputes and examining the evidence; and provision for a final internal review by a body of persons [or a person] not involved in the dispute).

5.12 **Periodic Review** – The institution has a formal approved policy and procedure requiring the periodic review of all units and/or operations to occur on a cyclical basis, normally not exceeding ten years. The policy and procedure includes provisions for the assessment of programs according to standard 7.10 of the Procedures and Standards for New Degree Program Quality Assessment. The periodic review procedure includes at a minimum
(i) A self-study undertaken by faculty members and administrators based on evidence relating to program performance against the criteria stated above, including strengths and weaknesses, desired improvements, and future directions

(ii) An assessment conducted by a panel consisting of experts external to the institution that normally includes a site visit

(iii) A report of the expert panel assessing institutional quality and recommending any changes needed to strengthen that quality

(iv) An institutional response to the recommendations in the report

(v) A summary of the conclusions of the evaluation made publicly available